



## APPLICATION FOR A PERMIT TO MARKET ARMAMENTS AND / OR SERVICES

**Please send to:**  
 Directorate for Conventional Arms  
 Control  
 Defence Secretariat  
 Private Bag X910  
**PRETORIA 0001**

Date of application			
Applicant's Reference			
Classification of Information			
<b>Date Received:</b>		<b>Registry No:</b>	

DETAILS OF APPLICANT					
	Postal Address			Street Address	
1.					
1.1	Trading as:		1.3	Facsimile No:	
1.2	Telephone no:		1.4	e-mail:	

DECLARATION BY APPLICANT	
2.	As the holder of Armaments Development and Manufacturing Permit number..... I hereby apply for a marketing permit to market the goods and services as detailed below. I declare that the information furnished in this document is true and correct and that I understand the CONDITIONS as set out in this application.

FULL DESCRIPTION OF PRODUCTS / FACILITIES / EQUIPMENT / SERVICES / TECHNOLOGIES, ETC / TO BE MARKETED	
<b>Note:</b> Whether the product is to be marketed as a complete system, as separate sub-systems and / or components then a full list, including unique model numbers, is to be provided of all the items that will be marketed as autonomous items. Product brochures, if available, should also be supplied.	
3.	

4.	<b>MARKETING OBJECTIVES</b>			
	<b>Indicate (X) whether the products listed in par 3 will be marketed as</b>			
<b>Hardware</b>				
4.1	Complete Product		4.5	Related software (eg manuals, data packs, training aids)
4.2	Sub- system		4.6	Production Equipment
4.3	Components		4.7	Production Plant
4.4	Related semi knock-down kit			
<b>Services</b>				
4.8	Project / Feasibility study		4.13	Consultation / Professional Advice
4.9	Joint development		4.14	Letting of Equipment and Facilities
4.10	Integration / Setting to work		4.15	Refurbishment / Upgrading
4.11	Evaluation		4.16	Service, Overhaul and Repair
4.12	Technical Aid and Advice		4.17	Training

5	<b>TECHNOLOGY AND KNOW-HOW</b>			
	<b>Indicate (X) which levels of technology will be marketed</b>			
5.1	Design Technology		5.6	Refurbishment. Upgrading and Modification
5.2	Development Technology		5.7	Final Assembly, Test and Evaluation
5.3	Manufacturing Technology		5.8	Complete Data Pack (5.1 to 5.5)
5.4	Maintenance and Repair Technology		5.9	Manufacturing License
5.5	Product Support Technology		5.10	Joint Venture Development & Manufacture

6	<b>PRODUCT HISTORY</b>			
6.1	Was the product developed for any of the following? Indicate with a "X"			
	SANDF/ SAPS:		Foreign Defence Force	General Export Market
6.2	If the product is SANDF related provide the following information:			
	Armcor Order Number		Armcor Project Officer	
	Armcor Acquisition Division			
6.3	If the product is a component / subsystem of a larger system, please specify below:			
6.4	Are there any South African or Foreign License Agreement / Intellectual Property Rights (IPR) limitations applicable to the marketing of the product or components? <b>(Yes / No)</b>			
6.5	If <b>YES</b> please specify:			<b>Note:</b> If the answer to 6.4 is <b>YES</b> provide authorisation from the License / IP holder to market the item

7		PRODUCT INFORMATION	
7.1	Are you the sole manufacturer of the products in par 3? <b>(Yes / No)</b>	7.2	Are you the sole owner of the Data Pack / Intellectual Property Rights (IPR) of the products in par 3? <b>(Yes / No)</b>
7.3	If your answer to either 7.1 or 7.2 is <b>NO</b> , list the other parties and their relationship with the product below:		
7.4	Are the parties mentioned in par 7.3 aware of your application? <b>(Yes / No)</b>	<b>Note:</b> If your answer to 7.4 is <b>YES</b> provide written proof of their approval to market the product .	
7.6	Have the products in par 3 been qualified?		<b>(Yes / No)</b>
7.7	If the answer to 7.6 is <b>YES</b> , by whom and when?	Date	
7.8	Has a complete Product Support package been developed for the product(s) listed in par 3?		<b>(Yes / No)</b>
7.9	Is the product or any component or subsystem subject to SA legislation on Non-Proliferation of Weapons of Mass destruction? <b>(Yes / No)</b>		
7.10	Does the product(s) listed in par 3 contain any imported components that are subject to a South African issued End-User-Certificate? <b>(Yes / No)</b>	<b>Note:</b> If the answer to 7.10 is <b>YES</b> provide authorisation from the supplier country to re-export the item.	

8.		TECHNOLOGY TRANSFER QUESTIONNAIRE	
8.1	Who funded the development of the technology, Government and / or Private Sector?		
8.2	What were the development costs of the technology?	Government: ZAR	
		Private Sector: ZAR	
8.3	Will you have to pay royalties to the IPR holder if the technology is exported?		
8.4	Does the product contain new technology?		
8.5	Where on the life cycle curve is the technology?		
8.6	Will the technology be developed further or is new technology anticipated?		
8.7	Can the product technology be copied without the cooperation of the manufacturer?		
8.8	Will the transfer of the product lead to South Africa losing control of the technology?		
8.9	If applicable, what safeguards have been taken to protect Intellectual Property Rights?		
8.10	What spin-off is there for South Africa if this technology is transferred?		

The following questions are applicable if a License Agreement to Transfer Technology is intended when marketing the Product					
8.11	Will all the data pack of the product be transferred? <b>(Yes / No)</b>		8.12	Will the foreign licensee be allowed to export the product? <b>(Yes / No)</b>	
8.13	Will the foreign licensee remain dependent on the licensor for part of the technology or products? <b>Please Specify:</b>				
8.14	What conditions will you impose should the foreign licensee wish to improve the product? <b>Please Specify</b>				
8.15	What conditions will you impose for payments and royalty percentages? <b>Please Specify</b>				
8.16	Will any technology be transferred that is subject to SA legislation on Non-Proliferation on Weapons of Mass Destruction? <b>Please Specify</b>				

<b>Signature</b>		<b>Name</b>		<b>Capacity</b>	
<b>Telephone No:</b>		<b>Facsimile No:</b>		<b>e-mail:</b>	

#### EXPLANATORY NOTES FOR AN APPLICATION FOR A PERMIT TO MARKET ARMAMENTS AND / OR SERVICES

1. The purpose of the Marketing Permit is to identify and register ownership of a particular product / service. In terms of the National Conventional Arms Control Act the holder of a Marketing Permit may conduct any promotion of conventional arms, negotiations, offer, tender, advertising, shows, exhibitions or giving of information relating to the products / services described in the permit at the sole risk of the holder.
2. A Marketing Permit can only be issued to the holder of an Armaments Development and Manufacturing Permit.
3. A Marketing Permit does **NOT** authorise the holder to enter into an agreement (contract) with his client.
4. Applications are to be submitted in English in the prescribed Form AMP 1.1 and shall be completed in either typescript or in capitals in ink.
5. The Directorate Conventional Arms Control shall not accept any form or format of application other than Form ATP 1.1.
6. Products and services must in all cases be stipulated individually.
7. The Minister of Defence publishes armaments and services that require a marketing permit in the Government Gazette.
8. The National Conventional Arms Control Committee through the Minister of Defence may at any time cancel or suspend a permit to market armaments and / or services.
9. Questions or uncertainty regarding the permit to market armaments and / or services may be addressed to:
 

Directorate Conventional Arms Control  
Defence Secretariat  
Private Bag X910  
Pretoria 0001  
Telephone +27 12 355 6223